

APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO ELN-002	SERIAL NO. 10/010942
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT Basi, Gurig et al.	FILING DATE December 6, 2001
	GROUP 1845	RECEIVED 4 2003

U.S. PATENT DOCUMENTS

CHILD PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
EDU	B1	JP 7-165799	06/95	Japan			Abstract Only	
	B2	WO 00/23082 A1	04/00	WO				
	B3	WO 00/72880 A2,A3	05/00	WO				
	B4	WO 02/088306 A2	11/02	WO				
EDU	B5	WO 02/088307 A2	11/02	WO				

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

Examiner <i>G. M. D.</i>	Date Considered <i>5/14/04</i>
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 16

Complete If Known

Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq et al.
Group Art Unit	1645-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
CTO	283	09/441,140		Solomon et al.	11-18-1999	
	242	60/168,594		Chaffour et al.	N/A	
	282	60/169,687		Chain	N/A	
	205	68/484,601		Holtzman et al.	N/A	
	289	68/486,205		Rasmussen et al.	N/A	
	286	60/264,466		Holtzman et al.	N/A	
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	300	2001/0018053	A1	McMichael	08-30-2001	
	267	6,294,171	B2	McMichael	09-25-2001	
	234	6,284,221	B1	Schenk, et al.	09-04-2001	
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	3	5,955,317		Suzuki et al.	09-21-1999	
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G. Williams

Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 16

Complete If Known

Application Number 10/010942
Filing Date December 6, 2001
First Named Inventor Basi, Guriq et al.
Group Art Unit 4646 / 1647
Examiner Name NICHOLS
Attorney Docket Number ELN-002

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
GTO	35	EP	911 036	A2		04-28-1999		
	36	EP	868 918	A2		10-07-1998		
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	46	EP	561 087	B1		08-04-1999		
	47	EP	526 511	B1		05-28-1997		
GTO	48	EP	508 785	B1		03-15-2000		

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16

Complete if Known

Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Gurig <i>et al.</i>
Group Art Unit	1645-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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G. Nichols

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Complete if Known

Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Gurig <i>et al.</i>
Group Art Unit	1645-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

Sheet 4 of 16

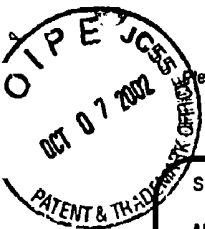
76	PCT	93/14200	A1		07-22-1993	
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92	GB	2 220 211	A		01-04-1990	
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Examiner Signature	<i>[Signature]</i>	Date Considered	5/14/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 16

Complete if Known

Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Guriq, Basi <i>et al.</i>
Group Art Unit	1645 1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	94	ANDERSEN et al., "Do nonsteroidal anti-inflammatory drugs decrease the risk for Alzheimer's disease?", <i>Neurology</i> , 45:1441-1445 (1995).	<input checked="" type="checkbox"/>
	95	Associated Press, "Immune cells may promote Alzheimer's, a study finds," <i>The Boston Globe</i> (4/13/95).	<input checked="" type="checkbox"/>
	176	BARD et al., "Peripherally administered antibodies against amyloid β -peptide enter the central nervous system and reduce pathology in a mouse model of Alzheimer disease," <i>Nature Medicine</i> , 6(8):916-919 (2000).	<input checked="" type="checkbox"/>
	228	BARROW, et al., "Solution Conformations and aggregational Properties of Synthetic Amyloid Beta-Peptides of Alzheimer's Disease. Analysis of Circular Dichroism Spectra" <i>J. Mol.Biol.</i> , 225(4): 1075-1093 (1992).	<input type="checkbox"/>
	96	BAUER et al., "Interleukin-6 and α -2-macroglobulin indicate an acute-phase state in Alzheimer's disease cortices," <i>FEBS Letters</i> , 285(1):111-114 (1991).	<input checked="" type="checkbox"/>
	239	BEASLEY, "Alzheimer's traced to proteins caused by aging," Reuters, April 20, 2001 7:56 PM ET.	<input type="checkbox"/>
	204	BERCOVICI et al., "Chronic Intravenous Injections of Antigen Induce and Maintain Tolerance in T Cell Receptor-Transgenic Mice," <i>Eur. J. Immunol.</i> 29:345-354 (1999).	<input type="checkbox"/>
	212	BICKEL et al., "Site Protected, Cationized Monoclonal Antibody Against Beta Amyloid as a Potential Diagnostic Imaging Technique for Alzheimer's Diseases," <i>Soc. for Neuroscience Abstracts</i> 18:764 (1992).	<input type="checkbox"/>
	97	BLASS, John P., "Immunologic Treatment of Alzheimer's Disease," <i>New England J. Medicine</i> , 341(22):1694 (1999).	<input checked="" type="checkbox"/>
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Examiner
Signature

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Sheet 6 of 16

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Application Number	10/010942
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First Named Inventor	Basi, Gurig <i>et al.</i>
Group Art Unit	1845-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

OCT 15 2002

300	102	CHAO et al., "Transforming Growth Factor- β Protects human Neurons Against β -Amyloid-Induced Injury," <u>Soc. Neurosci. Abstracts</u> , 19:513.7 (1993).	<input type="checkbox"/>
	266	CHAPMAN, PAUL F., "Model behavior," <u>Nature</u> , 408:915-916 (2000).	<input type="checkbox"/>
	222	Chemical Abstract database. Abstract of "Injection of Newborn Mice with Seven Chemical Adjuvants to Help Determine Their Safety in Use in Biologicals," Chemical Abstract database. (Publication date unknown.)	<input type="checkbox"/>
	213	CHEN et al., "An Antibody to β Amyloid Precursor Protein Inhibits Cell-substratum Adhesion in Many Mammalian Cell Types," <u>Neuroscience Letters</u> 125:223-226 (1991).	<input type="checkbox"/>
	302	CHUNG et al., "Uptake, Degradation, and Release of Fibrillar and Soluble Forms of Alzheimer's Amyloid β -Peptide by Microglial Cells," <u>J. Biol. Chem.</u> , 274(45):32301-32308 (1999).	<input type="checkbox"/>
	291	COLOMA et al., "Transport Across the Primate Blood-Brain Barrier of a Genetically Engineered Chimeric Monoclonal Antibody to the Human Insulin Receptor," <u>Pharm. Res.</u> , 17:266-274 (2000).	<input type="checkbox"/>
	286	CORDELL, B., " β -Amyloid formation as a potential therapeutic target for Alzheimer's disease," <u>Ann. Rev. Pharmacol. Toxicol.</u> , 34:69-89 (1994).	<input type="checkbox"/>
	287	COSTA et al., "Immunoassay for transthyretin variants associated with amyloid neuropathy," <u>Scand. J. Immunol.</u> , 38:177-182 (1993).	<input type="checkbox"/>
	293	DALY, et al., "Detection of the membrane-retained carboxy-terminal tail containing polypeptides of the amyloid precursor protein in tissue from Alzheimer's Disease brain," <u>Life Sci.</u> , 63:2121-2131 (1998).	<input type="checkbox"/>
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	220	Dialog/Derwent. Abstract of WPI ACC No: 1997-054436/199706. Otac vaccine compans. - comprise a macrocyclic lactone, a milbemycin, an avermectin, an antigen, a dispersing agent, an adjuvant, a water sol. organic solvent and saline or water. Derwent File 364: Derwent WPI database. (Publication date unknown.)	<input type="checkbox"/>
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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Gurik <i>et al.</i>
Group Art Unit	1645-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq et al.
Group Art Unit	1645 1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq <i>et al.</i>
Group Art Unit	1045 / 647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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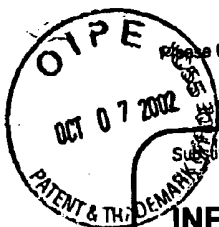
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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq <i>et al.</i>
Group Art Unit	1045 647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq <i>et al.</i>
Group Art Unit	1645
Examiner Name	
Attorney Docket Number	ELN-002

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Filing Date	December 6, 2001
First Named Inventor	Basi, Gurig <i>et al.</i>
Group Art Unit	1645 1647
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Examiner Signature	<i>Nichols</i>	Date Considered	5/14/04
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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq <i>et al.</i>
Group Art Unit	1845-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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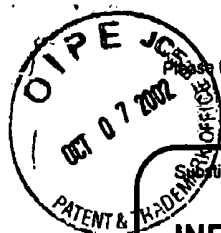
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Sheet 14

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Application Number 10/010942
Filing Date December 6, 2001
First Named Inventor Basi, Gurig *et al.*
Group Art Unit 1645-1647
Examiner Name NICHOLS
Attorney Docket Number ELN-002

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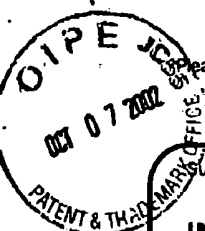
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Application Number	10/010942
Filing Date	December 6, 2001
First Named Inventor	Basi, Guriq <i>et al.</i>
Group Art Unit	1645-1647
Examiner Name	NICHOLS
Attorney Docket Number	ELN-002

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CDO	A14		WO 00/72878	A2, A3		12-07-2000		
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Application Number 10/010942
Filing Date December 6, 2001
First Named Inventor Basi, Guriq *et al.*
Group Art Unit 1645 1647
Examiner Name NICHOLS
Attorney Docket Number ELN-002

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CD	B5		WO 00/77178	A1		12-21-2000		

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CD	B6	09/724,842		Chellappan et al.	N/A	

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